

FORM NO. MGT - 9
EXTRACT OF ANNUAL RETURN

As on the financial year ended on 31st March, 2019

Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014

I REGISTRATION & OTHER DETAILS:

| | | |
|-----|--|--|
| i | CIN | L65993WB1993PLC060377 |
| ii | Registration Date | 07.10.1993 |
| iii | Name of the Company | U. Y. Fincorp Limited (Formerly known as Golden Goenka Fincorp Limited) |
| iv | Category/Sub-category of the Company | Public Limited Company |
| v | Address of the Registered office & contact details | 16 Strand Road, 9th Floor, Room No. 908B, Kolkata - 700 001 Telephone No. :- 033-46032315 Fax No. :- 033-44070020 |
| vi | Whether listed company | Yes, Listed on The BSE Limited & The Calcutta Stock Exchange Limited |
| vii | Name , Address & contact details of the Registrar & Share Transfer Agent, if any. | Niche Technologies Pvt. Ltd., 3A Auckland Place, 7th Floor, Room No. 7A & 7B , Kolkata- 700 017. Telephone No.:- 033-22806616/17/18 |

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:- Non-Banking Finance Company (NBFC) which is principally engaged in lending and investing activities.

All the business activities contributing 10% or more of the total turnover of the company shall be stated:-

| SL No | Name & Description of main products/services | NIC Code of the Product /service | % to total turnover of the company |
|-------|---|----------------------------------|------------------------------------|
| 1 | Non-Banking Financial Services (Lending & Investing Services) | 649 | 100% |

III PARTICULARS OF HOLDING , SUBSIDIARY & ASSOCIATE COMPANIES :-

| SI No | Name & Address of the Company | CIN/GLN | Holding/ Subsidiary/ Associates | % of Shares held | Applicable Section |
|-------|--|-----------------------|---------------------------------|------------------|--------------------|
| 1 | Aristro Capital Markets Limited 24, Park Street, Park Centre, Magma House, 10th Floor, Kolkata- 700 016, West Bengal | U65999WB2009PLC135995 | SUBSIDIARY | 100% | 2(87) |
| 2 | Purple Advertising Services Private Limited 45, Shakespeare Sarani, Century Tower 2nd Floor, Kolkata- 700 017, West Bengal | U74300WB2008PTC127335 | ASSOCIATE | 33.33% | 2(6) |

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

| Category of Shareholders | | No. of Shares held at the beginning of the year (As on 01.04.2018) | | | | No. of Shares held at the end of the year (As on 31.03.2019) | | | | % Change during the year |
|----------------------------|---|---|---------------|------------------|-------------------|---|---------------|------------------|-------------------|--------------------------|
| | | Demat | Physical | Total | % of Total Shares | Demat | Physical | Total | % of Total Shares | |
| A. | PROMOTERS | | | | | | | | | |
| (1) | Indian | | | | | | | | | |
| | a) Individual / HUF | 66952198 | 0 | 66952198 | 35.194 | 66952198 | 0 | 66952198 | 35.194 | 0.000 |
| | b) Central Government | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | c) State Government | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | d) Bodies Corporate | 39661056 | 0 | 39661056 | 20.848 | 48046208 | 0 | 48046208 | 25.256 | 4.408 |
| | e) Banks / Financial Institutions | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | f) Any Other | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | Sub-total (A)(1) | 106613254 | 0 | 106613254 | 56.042 | 114998406 | 0 | 114998406 | 60.450 | 4.408 |
| (2) | Foreign | | | | | | | | | |
| | a) NRIs - Individuals | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | b) Other - Individuals | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | c) Bodies Corporate | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | d) Banks / Financial Institutions | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | e) Any Other | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | Sub-total (A)(2) | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | Total Shareholding of Promoter (A) = (A)(1)+(A)(2) | 106613254 | 0 | 106613254 | 56.042 | 114998406 | 0 | 114998406 | 60.450 | 4.408 |
| B. | PUBLIC SHAREHOLDING | | | | | | | | | |
| (1) | Institutions | | | | | | | | | |
| | a) Mutual Funds | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | b) Banks / Financial Institutions | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | c) Central Governments | 0 | 0 | 0 | 0.000 | 4000 | 0 | 4000 | 0.002 | 0.002 |
| | d) State Governments | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | e) Venture Capital Funds | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | f) Insurance Companies | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | g) Foreign Institutional Investors (FIIs) | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | h) Foreign Venture Capital Funds | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | i) Others (Specify) | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | Sub-total (B)(1) | 0 | 0 | 0 | 0.000 | 4000 | 0 | 4000 | 0.002 | 0.002 |
| (2) | Non-Institutions | | | | | | | | | |
| | a) Bodies Corporate | | | | | | | | | |
| | i) Indian | 72551785 | 27400 | 72579185 | 38.152 | 62576924 | 27400 | 62604324 | 32.908 | -5.243 |
| | ii) Overseas | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | b) Individuals | | | | | | | | | |
| | i) Individual shareholders holding nominal share capital upto ₹ 1 lakh | 3394366 | 245059 | 3639425 | 1.913 | 3708637 | 242659 | 3951296 | 2.077 | 0.164 |
| | ii) Individual shareholders holding nominal share capital in excess of ₹ 1 lakh | 6362337 | 0 | 6362337 | 3.344 | 6995545 | 0 | 6995545 | 3.677 | 0.333 |
| | c) Others (Specify) | | | | | | | | | |
| | 1. NRI | 222713 | 1000 | 223713 | 0.118 | 417518 | 1000 | 418518 | 0.220 | 0.102 |
| | 2. Overseas Corporate Bodies | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | 3. Foreign Nationals | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | 4. Clearing Members | 820415 | 0 | 820415 | 0.431 | 1266240 | 0 | 1266240 | 0.666 | 0.234 |
| | 5. Trusts | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | 6. Foreign Bodies - D.R. | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| | Sub-total (B)(2) | 83351616 | 273459 | 83625075 | 43.958 | 74964864 | 271059 | 75235923 | 39.548 | -4.410 |
| | Total Public Shareholding (B) = (B)(1)+(B)(2) | 83351616 | 273459 | 83625075 | 43.958 | 74968864 | 271059 | 75239923 | 39.550 | -4.408 |
| C. | Shares held by Custodian for GDRs & ADRs | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0.000 |
| GRAND TOTAL (A+B+C) | | 189964870 | 273459 | 190238329 | 100.000 | 189967270 | 271059 | 190238329 | 100.000 | 0.000 |

ii) Shareholding of Promoters

| Sl No. | Shareholder's Name | Shareholding at the beginning of the year | | | Shareholding at the end of the year | | | % of change in shareholding during the year |
|--------|--|---|----------------------------------|--|-------------------------------------|----------------------------------|--|---|
| | | No. of Shares | % of total shares of the company | % of Shares Pledged/encumbered to total shares | No. of Shares | % of total shares of the company | % of Shares Pledged/encumbered to total shares | |
| 1 | Deepak Kothari (HUF) | 28000 | 0.015 | 0.000 | 28000 | 0.015 | 0.000 | 0.000 |
| 2 | Deepak Kothari | 33440499 | 17.578 | 0.000 | 33440499 | 17.578 | 0.000 | 0.000 |
| 3 | Udai Kothari | 33483699 | 17.601 | 0.000 | 33483699 | 17.601 | 0.000 | 0.000 |
| 4 | Lotus Capital Financial Services Limited | 28661056 | 15.066 | 0.000 | 37046208 | 19.474 | 0.000 | 4.408 |
| 5 | U Y Industries Private Ltd | 11000000 | 5.782 | 0.000 | 11000000 | 5.782 | 0.000 | 0.000 |
| | T O T A L | 106613254 | 56.042 | 0.000 | 114998406 | 60.450 | 0.000 | 4.408 |

iii) Change in Promoter's Shareholding

| Sl No. | Particulars | Shareholding at the beginning of the year | | Cumulative Shareholding during the year | |
|----------|---|---|----------------------------------|---|----------------------------------|
| | | No. of shares | % of total shares of the company | No. of shares | % of total shares of the company |
| | | | | | |
| 1 | MR. UDAI KOTHARI | | | | |
| | a) At the beginning of the Year | 33483699 | 17.601 | | |
| | b) Changes during the year | [NO CHANGES DURING THE YEAR] | | | |
| | c) At the End of the Year | | | 33483699 | 17.601 |
| 2 | MR. DEEPAK KOTHARI | | | | |
| | a) At the beginning of the Year | 33440499 | 17.578 | | |
| | b) Changes during the year | [NO CHANGES DURING THE YEAR] | | | |
| | c) At the End of the Year | | | 33440499 | 17.578 |
| 3 | MR. DEEPAK KOTHARI (HUF) | | | | |
| | a) At the beginning of the Year | 28000 | 0.015 | | |
| | b) Changes during the year | [NO CHANGES DURING THE YEAR] | | | |
| | c) At the End of the Year | | | 28000 | 0.015 |
| 4 | LOTUS CAPITAL FINANCIAL SERVICES LIMITED | | | | |
| | a) At the Beginning of the Year | 28661056 | 15.066 | | |
| | b) Changes during the year | [NO CHANGES DURING THE YEAR] | | | |
| | Date Reason | | | | |
| | 14/09/2018 Transfer | 3006843 | 1.581 | 31667899 | 16.646 |
| | 05/10/2018 Transfer | 2300000 | 1.209 | 33967899 | 17.855 |
| | 12/10/2018 Transfer | 3078309 | 1.618 | 37046208 | 19.474 |
| | c) At the End of the Year | | | 37046208 | 19.474 |
| 5 | U Y INDUSTRIES PRIVATE LTD | | | | |
| | a) At the Beginning of the Year | 11000000 | 5.782 | | |
| | b) Changes during the year | [NO CHANGES DURING THE YEAR] | | | |
| | c) At the End of the Year | | | 11000000 | 5.782 |

iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holder of GDRs and ADRs)

| Sl. No. | For Each of the Top 10 Shareholders | Shareholding at the beginning of the year | | Cumulative Shareholding during the year | |
|----------|---|---|----------------------------------|---|----------------------------------|
| | | No. of shares | % of total shares of the company | No. of shares | % of total shares of the company |
| 1 | ONWARD SUPPLIERS PRIVATE LIMITED | | | | |
| | a) At the Beginning of the Year | 0 | 0.000 | | |
| | b) Changes during the year | | | | |
| | Date Reason | | | | |
| | 10/08/2018 Transfer | 3000000 | 1.577 | 3000000 | 1.577 |
| | 17/08/2018 Transfer | 3000000 | 1.577 | 6000000 | 3.154 |
| | 14/09/2018 Transfer | 3500000 | 1.840 | 9500000 | 4.994 |
| | c) At the End of the Year | | | 9500000 | 4.994 |
| 2 | MOONRISE VINCOM PRIVATE LIMITED | | | | |
| | a) At the Beginning of the Year | 7500000 | 3.942 | | |
| | b) Changes during the year | | | [NO CHANGES DURING THE YEAR] | |
| | c) At the End of the Year | | | 7500000 | 3.942 |
| 3 | SANGAM MERCHANTS PVT.LTD. | | | | |
| | a) At the Beginning of the Year | 6712156 | 3.528 | | |
| | b) Changes during the year | | | [NO CHANGES DURING THE YEAR] | |
| | c) At the End of the Year | | | 6712156 | 3.528 |
| 4 | ALLTIME DEALER PRIVATE LIMITED | | | | |
| | a) At the Beginning of the Year | 0 | 0.000 | | |
| | b) Changes during the year | | | | |
| | Date Reason | | | | |
| | 03/08/2018 Transfer | 3342016 | 1.757 | 3342016 | 1.757 |
| | 24/08/2018 Transfer | 3000000 | 1.577 | 6342016 | 3.334 |
| | 31/08/2018 Transfer | 3056000 | 1.606 | 9398016 | 4.940 |
| | 29/09/2018 Transfer | -3342016 | -1.757 | 6056000 | 3.183 |
| | c) At the End of the Year | | | 6056000 | 3.183 |
| 5 | LADOO GARMENTS PRIVATE LIMITED | | | | |
| | a) At the Beginning of the Year | 0 | 0.000 | | |
| | b) Changes during the year | | | | |
| | Date Reason | | | | |
| | 29/09/2018 Transfer | 3342016 | 1.757 | 3342016 | 1.757 |
| | c) At the End of the Year | | | 3342016 | 1.757 |
| 6 | PMB HOLDINGS PVT LTD | | | | |
| | a) At the Beginning of the Year | 2986636 | 1.570 | | |
| | b) Changes during the year | | | [NO CHANGES DURING THE YEAR] | |
| | c) At the End of the Year | | | 2986636 | 1.570 |
| 7 | MAINLAND FINANCE PVT. LTD | | | | |
| | a) At the Beginning of the Year | 1666304 | 0.876 | | |
| | b) Changes during the year | | | | |
| | Date Reason | | | | |
| | 04/05/2018 Transfer | 500000 | 0.263 | 2166304 | 1.139 |
| | 20/07/2018 Transfer | 445000 | 0.234 | 2611304 | 1.373 |
| | 17/08/2018 Transfer | 70000 | 0.037 | 2681304 | 1.409 |
| | 14/09/2018 Transfer | 100000 | 0.053 | 2781304 | 1.462 |
| | 23/11/2018 Transfer | 85996 | 0.045 | 2867300 | 1.507 |
| | 08/03/2019 Transfer | 25000 | 0.013 | 2892300 | 1.520 |
| | c) At the End of the Year | | | 2892300 | 1.520 |

iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holder of GDRs and ADRs)

| Sl. No. | For Each of the Top 10 Shareholders | Shareholding at the beginning of the year | | Cumulative Shareholding during the year | |
|-----------|---|---|----------------------------------|---|----------------------------------|
| | | No. of shares | % of total shares of the company | No. of shares | % of total shares of the company |
| 8 | P K GANGWAL AND SONS PVT LTD | | | | |
| | a) At the Beginning of the Year | 1744795 | 0.917 | | |
| | b) Changes during the year | | | | |
| | Date Reason | | | | |
| | 01/06/2018 Transfer | -1 | 0.000 | 1744794 | 0.917 |
| | c) At the End of the Year | | | 1744794 | 0.917 |
| 9 | MAYBORN INVESTMENTS PRIVATE LTD | | | | |
| | a) At the Beginning of the Year | 1600000 | 0.841 | | |
| | b) Changes during the year | [NO CHANGES DURING THE YEAR] | | | |
| | c) At the End of the Year | | | 1600000 | 0.841 |
| 10 | HAMSAFAR VINCOM PRIVATE LIMITED | | | | |
| | a) At the Beginning of the Year | 1229261 | 0.646 | | |
| | b) Changes during the year | [NO CHANGES DURING THE YEAR] | | | |
| | c) At the End of the Year | | | 1229261 | 0.646 |
| 11 | RATNANANDAN COMMERCIALS PVT LTD. | | | | |
| | a) At the Beginning of the Year | 4895600 | 2.573 | | |
| | b) Changes during the year | | | | |
| | Date Reason | | | | |
| | 18/05/2018 Transfer | -200000 | -0.105 | 4695600 | 2.468 |
| | 25/05/2018 Transfer | -70000 | -0.037 | 4625600 | 2.431 |
| | 01/06/2018 Transfer | -390000 | -0.205 | 4235600 | 2.226 |
| | 08/06/2018 Transfer | -120000 | -0.063 | 4115600 | 2.163 |
| | 15/06/2018 Transfer | -300000 | -0.158 | 3815600 | 2.006 |
| | 30/06/2018 Transfer | -3815600 | -2.006 | 0 | 0.000 |
| | 31/03/2019 Transfer | 4100 | 0.002 | 4100 | 0.002 |
| | c) At the End of the Year | | | 4100 | 0.002 |
| 12 | STRONG DEALTRADE PRIVATE LIMITED | | | | |
| | a) At the Beginning of the Year | 16100000 | 8.463 | | |
| | b) Changes during the year | | | | |
| | Date Reason | | | | |
| | 11/05/2018 Transfer | -125000 | -0.066 | 15975000 | 8.397 |
| | 18/05/2018 Transfer | -25000 | -0.013 | 15950000 | 8.384 |
| | 22/06/2018 Transfer | -35000 | -0.018 | 15915000 | 8.366 |
| | 30/06/2018 Transfer | -44000 | -0.023 | 15871000 | 8.343 |
| | 06/07/2018 Transfer | -40000 | -0.021 | 15831000 | 8.322 |
| | 13/07/2018 Transfer | -15000 | -0.008 | 15816000 | 8.314 |
| | 20/07/2018 Transfer | -25000 | -0.013 | 15791000 | 8.301 |
| | 27/07/2018 Transfer | -50000 | -0.026 | 15741000 | 8.274 |
| | 03/08/2018 Transfer | -75000 | -0.039 | 15666000 | 8.235 |
| | 10/08/2018 Transfer | -3025000 | -1.590 | 12641000 | 6.645 |
| | 17/08/2018 Transfer | -3040000 | -1.598 | 9601000 | 5.047 |
| | 24/08/2018 Transfer | -3045000 | -1.601 | 6556000 | 3.446 |
| | 31/08/2018 Transfer | -3056000 | -1.606 | 3500000 | 1.840 |
| | 14/09/2018 Transfer | -3500000 | -1.840 | 0 | 0.000 |
| | c) At the End of the Year | | | 0 | 0.000 |

iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holder of GDRs and ADRs)

| Sl. No. | For Each of the Top 10 Shareholders | Shareholding at the beginning of the year | | Cumulative Shareholding during the year | |
|-----------|--|---|----------------------------------|---|----------------------------------|
| | | No. of shares | % of total shares of the company | No. of shares | % of total shares of the company |
| 13 | ANCHOR VINIMAY PRIVATE LIMITED | | | | |
| | a) At the Beginning of the Year | 3342016 | 1.757 | | |
| | b) Changes during the year | | | | |
| | Date Reason | | | | |
| | 03/08/2018 Transfer | -3342016 | -1.757 | 0 | 0.000 |
| | c) At the End of the Year | | | 0 | 0.000 |
| 14 | J.N.B. SIDHU FINANCE PVT LTD | | | | |
| | a) At the Beginning of the Year | 3145843 | 1.654 | | |
| | b) Changes during the year | | | | |
| | Date Reason | | | | |
| | 22/06/2018 Transfer | -35000 | -0.018 | 3110843 | 1.635 |
| | 30/06/2018 Transfer | -27000 | -0.014 | 3083843 | 1.621 |
| | 06/07/2018 Transfer | -15000 | -0.008 | 3068843 | 1.613 |
| | 13/07/2018 Transfer | -25000 | -0.013 | 3043843 | 1.600 |
| | 27/07/2018 Transfer | -25000 | -0.013 | 3018843 | 1.587 |
| | 14/09/2018 Transfer | -3018843 | -1.587 | 0 | 0.000 |
| | c) At the End of the Year | | | 0 | 0.000 |
| 15 | WRINKLE MARKETING PVT LTD . | | | | |
| | a) At the Beginning of the Year | 2300000 | 1.209 | | |
| | b) Changes during the year | | | | |
| | Date Reason | | | | |
| | 05/10/2018 Transfer | -2300000 | -1.209 | 0 | 0.000 |
| | c) At the End of the Year | | | 0 | 0.000 |

(v) Shareholding of Directors & Key Managerial Personnel

| Sl No. | Particulars | Shareholding at the beginning of the year | | Cumulative Shareholding during the year | |
|--------|--|---|----------------------------------|---|----------------------------------|
| | | No. of shares | % of total shares of the company | No. of shares | % of total shares of the company |
| 1 | MR. UDAI KOTHARI | | | | |
| | a) At the beginning of the Year | 33483699 | 17.601 | | |
| | b) Changes during the year | | [NO CHANGES DURING THE YEAR] | | |
| | c) At the End of the Year | | | 33483699 | 17.601 |
| 2 | MR. DEEPAK KOTHARI | | | | |
| | a) At the beginning of the Year | 33440499 | 17.578 | | |
| | b) Changes during the year | | [NO CHANGES DURING THE YEAR] | | |
| | c) At the End of the Year | | | 33440499 | 17.578 |
| 3 | MR. DINESH BURMAN | | | | |
| | a) At the beginning of the Year | 0 | 0.000 | | |
| | b) Changes during the year | | [NO CHANGES DURING THE YEAR] | | |
| | c) At the End of the Year | | | 0 | 0.000 |
| 4 | MR. GOVIND KUMAR GOYAL | | | | |
| | a) At the beginning of the Year | 0 | 0.000 | | |
| | b) Changes during the year | | [NO CHANGES DURING THE YEAR] | | |
| | c) At the End of the Year | | | 0 | 0.000 |
| 5 | MRS. LEENA HINESH JOBANPUTRA | | | | |
| | a) At the beginning of the Year | 0 | 0.000 | | |
| | b) Changes during the year | | [NO CHANGES DURING THE YEAR] | | |
| | c) At the End of the Year | | | 0 | 0.000 |
| 6 | MR. JIGNESH DALAL | | | | |
| | a) At the beginning of the Year | 0 | 0.000 | | |
| | b) Changes during the year | | [NO CHANGES DURING THE YEAR] | | |
| | c) At the End of the Year | | | 0 | 0.000 |
| 7 | MR. NIRANJAN KUMAR CHORARIA (Resigned w.e.f. 11.08.2018) | | | | |
| | a) At the beginning of the Year | 0 | 0.000 | | |
| | b) Changes during the year | | [NO CHANGES DURING THE YEAR] | | |
| | c) At the End of the Year | | | 0 | 0.000 |
| 8 | MR. NISHANT SHARADRAO NANDA (Appointed w.e.f. 10.08.2018) | | | | |
| | a) At the beginning of the Year | 0 | 0.000 | | |
| | b) Changes during the year | | [NO CHANGES DURING THE YEAR] | | |
| | c) At the End of the Year | | | 0 | 0.000 |
| 9 | MRS. AMRITA MOHTA KOTHARI | | | | |
| | a) At the beginning of the Year | 0 | 0.000 | | |
| | b) Changes during the year | | [NO CHANGES DURING THE YEAR] | | |
| | c) At the End of the Year | | | 0 | 0.000 |
| 10 | MR. ALOK BANERJEE(Appointed w.e.f. 01.11.2018) | | | | |
| | a) At the beginning of the Year | 0 | 0.000 | | |
| | b) Changes during the year | | [NO CHANGES DURING THE YEAR] | | |
| | c) At the End of the Year | | | 0 | 0.000 |
| 11 | MR. AYUSH AGRAWAL (Resigned w.e.f. 11.09.2018) | | | | |
| | a) At the beginning of the Year | 0 | 0.000 | | |
| | b) Changes during the year | | [NO CHANGES DURING THE YEAR] | | |
| | c) At the End of the Year | | | 0 | 0.000 |

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment (Amount in ₹)

| Particulars | Secured Loan excluding deposits | Unsecured Loans | Deposits | Total Indebtedness |
|--|---------------------------------|-----------------|----------|--------------------|
| Indebtedness at the beginning of the Financial Year | | | | |
| i) Principal Amount | - | - | - | - |
| ii) Interest due but not paid | - | - | - | - |
| iii) Interest accrued but not due | - | - | - | - |
| Total (i+ii+iii) | - | - | - | - |
| Change in Indebtedness during the Financial Year | | | | |
| Additions | - | - | - | - |
| Reduction | - | - | - | - |
| Net Change | - | - | - | - |
| Indebtedness at the end of the Financial Year | | | | |
| i) Principal Amount | - | - | - | - |
| ii) Interest due but not paid | - | - | - | - |
| iii) Interest accrued but not due | - | - | - | - |
| Total (i+ii+iii) | - | - | - | - |

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time director and/or Manager: (Amount in ₹)

| Sl.No | Particulars of Remuneration | Name of the MD/WTD/Manager | | | Total Amount |
|-------|--|---|-------------------------------------|------------------------------------|--------------|
| | | Udai Kothari, Managing Director | Deepak Kothari, Whole-time Director | Dinesh Burman, Whole-time Director | |
| 1 | Gross Salary (a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961. (b) Value of perquisites u/s 17(2) of the Income tax | 48,00,000 | 24,00,000 | 3,06,000 | 75,06,000 |
| 2 | Stock option | - | - | - | - |
| 3 | Sweat Equity | - | - | - | - |
| 4 | Commission as % of profit | - | - | - | - |
| 5 | Others, please specify | - | - | - | - |
| | Total (A) | 48,00,000 | 24,00,000 | 3,06,000 | 75,06,000 |
| | Overall Ceiling as per the Act | Remuneration is within limits of the Provisions of Section 197 of the Companies Act, 2013 read with Schedule V. | | | |

B. Remuneration to other directors:

(Amount in ₹)

| Sl.No | Particulars of Remuneration | Name of the Directors | | | | | Total Amount |
|---|--|------------------------|-------------------------|---------------|--------------------|-------------------------|--------------|
| | | Niranjn Kumar Choraria | Leena Hinesh Jobanputra | Jignesh Dalal | Govind Kumar Goyal | Nishant Sharadrao Nanda | |
| 1. Independent Directors | | | | | | | |
| (a) | Fee for attending Board/Committee meetings | 16,000 | 41,000 | 40,000 | 22,000 | 15,000 | 1,34,000 |
| (b) | Commission | - | - | - | - | - | - |
| (c) | Others, please specify | - | - | - | - | - | - |
| | Total (1) | 16,000 | 41,000 | 40,000 | 22,000 | 15,000 | 1,34,000 |
| 2. Other Non Executive Directors | | | | | | | |
| (a) | Fee for attending Board/Committee meetings | - | - | - | - | - | - |
| (b) | Commission | - | - | - | - | - | - |
| (c) | Others, please specify | - | - | - | - | - | - |
| | Total (2) | - | - | - | - | - | - |
| | Total (B)=(1+2) | 16,000 | 41,000 | 40,000 | 22,000 | 15,000 | 1,34,000 |
| | Total Managerial Remuneration (A+B) | | | | | | 76,40,000 |

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

(Amount in ₹)

| Sl. No. | Particulars of Remuneration | Key Managerial Personnel | | | Total |
|---------|---|--------------------------|-------------------|---------------------------|-----------|
| | | CFO | CFO | CS | |
| | | Mr. Ayush Agarwal | Mr. Alok Banerjee | Mrs. Amrita Mohta Kothari | |
| 1 | Gross Salary Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961. (b) Value of perquisites u/s 17(2) of the Income tax Act, 1961 (c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961 | 6,11,291 | 5,00,000 | 8,25,500 | 19,36,791 |
| 2 | Stock Option | - | - | - | - |
| 3 | Sweat Equity | - | - | - | - |
| 4 | Commission as % of profit | - | - | - | - |
| 5 | Others, please specify | - | - | - | - |
| | Total | 6,11,291 | 5,00,000 | 8,25,500 | 19,36,791 |

VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES

(Amount in ₹)

| Type | Section of the Companies Act | Brief Description | Details of Penalty/Punishment/Compounding fees imposed | Authority (RD/NCLT/ Court) | Appeal made if any (give details) |
|-------------------------------------|------------------------------|-------------------|--|----------------------------|-----------------------------------|
| A. COMPANY | | | | | |
| Penalty | | | | | |
| Punishment | | | | | |
| Compounding | | | | | |
| B. DIRECTORS | | | | | |
| Penalty | | | | | |
| Punishment | | | | | |
| Compounding | | | | | |
| C. OTHER OFFICERS IN DEFAULT | | | | | |
| Penalty | | | | | |
| Punishment | | | | | |
| Compounding | | | | | |

Registered Office:
16 Strand Road
9th Floor, Room No. 908B
Kolkata-700001
Dated: 14th August, 2019

By Order of the Board
Sd/-
Udai Kothari
Chairman & Managing Director
(DIN:- 00284256)