

FORM NO. MGT - 9
EXTRACT OF ANNUAL RETURN

As on the financial year ended on 31st March, 2018

Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014

I REGISTRATION & OTHER DETAILS:

i	CIN	L65993WB1993PLC060377
ii	Registration Date	07.10.1993
iii	Name of the Company	Golden Goenka Fincorp Limited
iv	Category/Sub-category of the Company	Public Limited Company
v	Address of the Registered office & contact details	16 Strand Road, 9th Floor, Room No. 908B, Kolkata - 700 001 Telephone No. :- 033-46032315 Fax No. :- 033-44070020
vi	Whether listed company	Yes, Listed on The BSE Limited & The Calcutta Stock Exchange Limited
vii	Name , Address & contact details of the Registrar & Share Transfer Agent, if any.	Niche Technologies Pvt. Ltd., D-511, Bagree Market, 71, B.R.B Basu Road, Kolkata- 700 001. Telephone No.:- 033-22357270/71

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:- Non-Banking Finance Company (NBFC) which is principally engaged in lending and investing activities.

All the business activities contributing 10% or more of the total turnover of the company shall be stated:-

SL No	Name & Description of main products/services	NIC Code of the Product /service	% to total turnover of the company
1	Non-Banking Financial Services (Lending & Investing Services)	649	100%

III PARTICULARS OF HOLDING , SUBSIDIARY & ASSOCIATE COMPANIES :-

SI No	Name & Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associates	% of Shares held	Applicable Section
1	Golden Goenka Financial Advisors Limited 1, British Indian Street, Room No. B 10, Kolkata - 700069, West Bengal	U93000WB2011PLC168171	SUBSIDIARY	100%	2(87)
2	Golden Goenka Management Consultancy Services Ltd 1, British Indian Street, Room No. B 10, Kolkata - 700069, West Bengal	U93000WB2011PLC168157	SUBSIDIARY	100%	2(87)
3	Golden Goenka Properties & Construction Private Ltd 18, British Indian Street, Kolkata - 700069, West Bengal	U70109WB2011PTC168156	SUBSIDIARY	100%	2(87)
4	Goodpoint Conclave Limited 18, British Indian Street, 3rd Floor, Kolkata-700069, West Bengal	U70102WB2013PLC192162	SUBSIDIARY	100%	2(87)
5	Linkwise Promoters Private Limited 18, British Indian Street, 3rd Floor, Kolkata-700069, West Bengal	U70102WB2013PTC192163	SUBSIDIARY	100%	2(87)
6	Aristro Capital Markets Limited 1, British Indian Street, 2nd Floor, Room No. 213, Kolkata- 700 069, West Bengal	U65999WB2009PLC135995	SUBSIDIARY	100%	2(87)
7	Purple Advertising Services Private Limited 45, Shakespeare Sarani, Century Tower 2nd Floor, Kolkata- 700 017, West Bengal	U74300WB2008PTC127335	ASSOCIATE	33.33%	2(6)

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

Category of Shareholders		No. of Shares held at the beginning of the year (As on 31.03.2017)				No. of Shares held at the end of the year (As on 31.03.2018)				% Change during the year
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A.	PROMOTERS									
(1)	Indian									
	a) Individual / HUF	1262853	0	1262853	0.664	66952198	0	66952198	35.194	34.530
	b) Central Government	0	0	0	0.000	0	0	0	0.000	0.000
	c) State Government	0	0	0	0.000	0	0	0	0.000	0.000
	d) Bodies Corporate	94274001	0	94274001	49.556	39661056	0	39661056	20.848	-28.708
	e) Banks / Financial Institutions	0	0	0	0.000	0	0	0	0.000	0.000
	f) Any Other	0	0	0	0.000	0	0	0	0.000	0.000
	Sub-total (A)(1)	95536854	0	95536854	50.220	106613254	0	106613254	56.042	5.822
(2)	Foreign									
	a) NRIs - Individuals	0	0	0	0.000	0	0	0	0.000	0.000
	b) Other - Individuals	0	0	0	0.000	0	0	0	0.000	0.000
	c) Bodies Corporate	0	0	0	0.000	0	0	0	0.000	0.000
	d) Banks / Financial Institutions	0	0	0	0.000	0	0	0	0.000	0.000
	e) Any Other	0	0	0	0.000	0	0	0	0.000	0.000
	Sub-total (A)(2)	0	0	0	0.000	0	0	0	0.000	0.000
	Total Shareholding of Promoter (A) = (A)(1)+(A)(2)	95536854	0	95536854	50.220	106613254	0	106613254	56.042	5.822
B.	PUBLIC SHAREHOLDING									
(1)	Institutions									
	a) Mutual Funds	0	0	0	0.000	0	0	0	0.000	0.000
	b) Banks / Financial Institutions	0	0	0	0.000	0	0	0	0.000	0.000
	c) Central Governments	0	0	0	0.000	0	0	0	0.000	0.000
	d) State Governments	0	0	0	0.000	0	0	0	0.000	0.000
	e) Venture Capital Funds	0	0	0	0.000	0	0	0	0.000	0.000
	f) Insurance Companies	0	0	0	0.000	0	0	0	0.000	0.000
	g) Foreign Institutional Investors (FIIs)	0	0	0	0.000	0	0	0	0.000	0.000
	h) Foreign Venture Capital Funds	0	0	0	0.000	0	0	0	0.000	0.000
	i) Others (Specify)	0	0	0	0.000	0	0	0	0.000	0.000
	Sub-total (B)(1)	0	0	0	0.000	0	0	0	0.000	0.000
(2)	Non-Institutions									
	a) Bodies Corporate									
	i) Indian	85499418	27400	85526818	44.958	72551785	27400	72579185	38.152	-6.806
	ii) Overseas	0	0	0	0.000	0	0	0	0.000	0.000
	b) Individuals									
	i) Individual shareholders holding nominal share capital upto ₹ 1 lakh	2519686	250659	2770345	1.456	3394366	245059	3639425	1.913	0.457
	ii) Individual shareholders holding nominal share capital in excess of ₹ 1 lakh	5529840	0	5529840	2.907	6362337	0	6362337	3.344	0.438
	c) Others (Specify)									
	1. NRI	12782	1000	13782	0.007	222713	1000	223713	0.118	0.110
	2. Overseas Corporate Bodies	0	0	0	0.000	0	0	0	0.000	0.000
	3. Foreign Nationals	0	0	0	0.000	0	0	0	0.000	0.000
	4. Clearing Members	860690	0	860690	0.452	820415	0	820415	0.431	-0.021
	5. Trusts	0	0	0	0.000	0	0	0	0.000	0.000
	6. Foreign Bodies - D.R.	0	0	0	0.000	0	0	0	0.000	0.000
	Sub-total (B)(2)	94422416	279059	94701475	49.780	83351616	273459	83625075	43.958	-5.822
	Total Public Shareholding (B) = (B)(1)+(B)(2)	94422416	279059	94701475	49.780	83351616	273459	83625075	43.958	-5.822
C.	Shares held by Custodian for GDRs & ADRs	0	0	0	0.000	0	0	0	0.000	0.000
GRAND TOTAL (A+B+C)		189959270	279059	190238329	100.000	189964870	273459	190238329	100.000	0.000

ii) Shareholding of Promoters

Sl No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% of change in shareholding during the year
		No. of Shares	% of total shares of the company	% of Shares Pledged/encumbered to total shares	No. of Shares	% of total shares of the company	% of Shares Pledged/encumbered to total shares	
1	Girdhar Fiscal Services Pvt Ltd	13522119	7.108	0.000	0	0.000	0.000	-7.108
2	Girdhari Lal Goenka	1262853	0.664	0.000	0	0.000	0.000	-0.664
3	Golden Goenka Commerce Pvt Ltd	9680387	5.089	0.000	0	0.000	0.000	-5.089
4	Golden Goenka Credit Pvt Ltd	71071495	37.359	0.000	0	0.000	0.000	-37.359
5	Deepak Kothari	0	0.000	0.000	28000	0.015	0.000	0.015
6	Deepak Kothari	0	0.000	0.000	33440499	17.578	0.000	17.578
7	Udai Kothari	0	0.000	0.000	33483699	17.601	0.000	17.601
8	Lotus Capital Financial Services Limited	0	0.000	0.000	28661056	15.066	0.000	15.066
9	U Y Industries Private Ltd	0	0.000	0.000	11000000	5.782	0.000	5.782
	TOTAL	95536854	50.220	0.000	106613254	56.042	0.000	5.822

iii) Change in Promoter's Shareholding

Sl No.	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	GIRDHAR FISCAL SERVICES PVT LTD				
	a) At the beginning of the Year	13522119	7.108		
	b) Changes during the year				
	Date Reason				
	14/08/2017 Transfer	-13522119	-7.108	0	0.000
	c) At the End of the Year			0	0.000
2	GIRDHARI LAL GOENKA				
	a) At the beginning of the Year	1262853	0.664		
	b) Changes during the year				
	Date Reason				
	14/08/2017 Transfer	-1262853	-0.664	0	0.000
	c) At the End of the Year			0	0.000
3	GOLDEN GOENKA COMMERCE PVT LTD				
	a) At the beginning of the Year	9680387	5.089		
	b) Changes during the year				
	Date Reason				
	14/08/2017 Transfer	-9680387	-5.089	0	0.000
	c) At the End of the Year			0	0.000
4	GOLDEN GOENKA CREDIT PVT LTD				
	a) At the beginning of the Year	71071495	37.359		
	b) Changes during the year				
	Date Reason				
	14/08/2017 Transfer	-71071495	-37.359	0	0.000
	c) At the End of the Year			0	0.000
5	MR. UDAI KOTHARI*				
	a) At the beginning of the Year	45800	0.024		
	b) Changes during the year				
	Date Reason				
	14/08/2017 Transfer	33437899	17.577	33483699	17.601
	c) At the End of the Year			33483699	17.601
6	MR. DEEPAK KOTHARI				
	a) At the beginning of the Year	0	0.000		
	b) Changes during the year				
	Date Reason				
	14/07/2017 Transfer	2600	0.001	2600	0.001
	14/08/2017 Transfer	33437899	17.577	33440499	17.578
	c) At the End of the Year			33440499	17.578
7	MR. DEEPAK KOTHARI*				
	a) At the beginning of the Year	28000	0.015		
	b) Changes during the year				
				[NO CHANGES DURING THE YEAR]	
	c) At the End of the Year			28000	0.015
8	LOTUS CAPITAL FINANCIAL SERVICES LIMITED				
	a) At the Beginning of the Year	0	0.000		
	b) Changes during the year				
	Date Reason				
	14/08/2017 Transfer	28661056	15.066	28661056	15.066
	c) At the End of the Year			28661056	15.066
9	U Y INDUSTRIES PRIVATE LTD*				
	a) At the Beginning of the Year	11000000	5.782		
	b) Changes during the year				
				[NO CHANGES DURING THE YEAR]	
	c) At the End of the Year			11000000	5.782

* Became part of the promoter Group w.e.f. 14th August, 2017.

iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holder of GDRs and ADRs)

Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	ANCHOR VINIMAY PRIVATE LIMITED				
	a) At the beginning of the Year	3342016	1.757		
	b) Changes during the year		[NO CHANGES DURING THE YEAR]		
	c) At the End of the Year			3342016	1.757
2	J.N.B. SIDHU FINANCE PVT LTD				
	a) At the beginning of the Year	3145843	1.654		
	b) Changes during the year		[NO CHANGES DURING THE YEAR]		
	c) At the End of the Year			3145843	1.654
3	MOONRISE VINCOM PRIVATE LIMITED				
	a) At the beginning of the Year	7500000	3.942		
	b) Changes during the year		[NO CHANGES DURING THE YEAR]		
	c) At the End of the Year			7500000	3.942
4	P K GANGWAL AND SONS PVT LTD				
	a) At the beginning of the Year	1744795	0.917		
	b) Changes during the year		[NO CHANGES DURING THE YEAR]		
	c) At the End of the Year			1744795	0.917
5	PMB HOLDINGS PVT LTD				
	a) At the beginning of the Year	2986636	1.570		
	b) Changes during the year		[NO CHANGES DURING THE YEAR]		
	c) At the End of the Year			2986636	1.570
6	RATNANANDAN COMMERCIALS PVT LTD.				
	a) At the beginning of the Year	4895600	2.573		
	b) Changes during the year		[NO CHANGES DURING THE YEAR]		
	c) At the End of the Year			4895600	2.573
7	SANGAM MERCHANTS PVT. LTD.				
	a) At the beginning of the Year	6712156	3.528		
	b) Changes during the year		[NO CHANGES DURING THE YEAR]		
	c) At the End of the Year			6712156	3.528
8	STRONG DEALTRADE PRIVATE LIMITED				
	a) At the beginning of the Year	16100000	8.463		
	b) Changes during the year		[NO CHANGES DURING THE YEAR]		
	c) At the End of the Year			16100000	8.463
9	U Y INDUSTRIES PRIVATE LTD*				
	a) At the beginning of the Year	11000000	5.782		
	b) Changes during the year		[NO CHANGES DURING THE YEAR]		
	c) At the End of the Year			11000000	5.782
10	WRINKLE MARKETING PVT LTD .				
	a) At the beginning of the Year	2300000	1.209		
	b) Changes during the year		[NO CHANGES DURING THE YEAR]		
	c) At the End of the Year			2300000	1.209
11	MAINLAND FINANCE PRIVATE LIMITED				
	a) At the beginning of the Year	1666304	0.876		
	b) Changes during the year		[NO CHANGES DURING THE YEAR]		
	c) At the End of the Year			1666304	0.876

* Became part of the promoter Group w.e.f. 14th August, 2017.

(v) Shareholding of Directors & Key Managerial Personnel

Sl No.	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	MR. UDAI KOTHARI (Appointed w.e.f. 21.09.2017)				
	a) At the beginning of the Year	45800	0.024		
	b) Changes during the year				
	Date Reason				
	14/08/2017 Transfer	33437899	17.577	33483699	17.601
	c) At the End of the Year			33483699	17.601
2	MR. DEEPAK KOTHARI (Appointed w.e.f. 21.09.2017)				
	a) At the beginning of the Year	0	0.000		
	b) Changes during the year				
	Date Reason				
	14/07/2017 Transfer	2600	0.001	2600	0.001
	14/08/2017 Transfer	33437899	17.577	33440499	17.578
	c) At the End of the Year			33440499	17.578
3	MR. GIRDHARI LAL GOENKA (Resigned w.e.f. 21.09.2017)				
	a) At the beginning of the Year	1262853	0.664		
	b) Changes during the year				
	Date Reason				
	14/08/2017 Transfer	-1262853	-0.664	0	0.000
	c) At the End of the Year			0	0.000
4	MR. DINESH BURMAN				
	a) At the beginning of the Year	0	0.000		
	b) Changes during the year			[NO CHANGES DURING THE YEAR]	
	c) At the End of the Year			0	0.000
5	MR. NIRANJAN KUMAR CHORARIA				
	a) At the beginning of the Year	0	0.000		
	b) Changes during the year			[NO CHANGES DURING THE YEAR]	
	c) At the End of the Year			0	0.000
6	MR. RHYTHM ARORA (Resigned w.e.f. 15.02.2018)				
	a) At the beginning of the Year	0	0.000		
	b) Changes during the year			[NO CHANGES DURING THE YEAR]	
	c) At the End of the Year			0	0.000
7	MRS. LEENA HINESH JOBANPUTRA				
	a) At the beginning of the Year	0	0.000		
	b) Changes during the year			[NO CHANGES DURING THE YEAR]	
	c) At the End of the Year			0	0.000
8	MR. GOVIND KUMAR GOYAL				
	a) At the beginning of the Year	0	0.000		
	b) Changes during the year			[NO CHANGES DURING THE YEAR]	
	c) At the End of the Year			0	0.000
9	MR. JIGNESH DALAL (Appointed w.e.f. 15.02.2018)				
	a) At the beginning of the Year	0	0.000		
	b) Changes during the year			[NO CHANGES DURING THE YEAR]	
	c) At the End of the Year			0	0.000
10	MR. AYUSH AGRAWAL				
	a) At the beginning of the Year	0	0.000		
	b) Changes during the year			[NO CHANGES DURING THE YEAR]	
	c) At the End of the Year			0	0.000
11	MRS. AMRITA MOHTA KOTHARI				
	a) At the beginning of the Year	0	0.000		
	b) Changes during the year			[NO CHANGES DURING THE YEAR]	
	c) At the End of the Year			0	0.000

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment (Amount in ₹)

Particulars	Secured Loan excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the Financial Year				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-
Change in Indebtedness during the Financial Year				
Additions	-	-	-	-
Reduction	-	-	-	-
Net Change	-	-	-	-
Indebtedness at the end of the Financial Year				
i) Principal Amount	-	-	-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time director and/or Manager: (Amount in ₹)

Sl.No	Particulars of Remuneration	Name of the MD/WTD/Manager				Total Amount
		Girdhari Lal Goenka, Managing Director (Resigned w.e.f. 21.09.2017)	Udai Kothari, Managing Director (Appointed w.e.f. 21.09.2017)	Deepak Kothari, Whole-time Director (Appointed w.e.f. 21.09.2017)	Dinesh Burman, Whole-time Director	
1	Gross Salary (a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961. (b) Value of perquisites u/s 17(2) of the Income tax	1,020,000	2,532,916	1,266,250	306,000	5,125,166
2	Stock option	-	-	-	-	-
3	Sweat Equity	-	-	-	-	-
4	Commission as % of profit	-	-	-	-	-
5	Others, please specify	-	-	-	-	-
	Total (A)	1,020,000	2,532,916	1,266,250	306,000	5,125,166
	Overall Ceiling as per the Act			Remuneration is within limits of the Provisions of Section 197 of the Companies Act, 2013 read with Schedule V.		

B. Remuneration to other directors: (Amount in ₹)

Sl.No	Particulars of Remuneration	Name of the Directors				Total Amount
		Niranjan Kumar Choraria	Leena Hinesh Jobanputra	Rhythm Arora	Govind Kumar Goyal	
1. Independent Directors						
(a)	Fee for attending Board/Committee meetings	23,000	23,000	6,000	15,000	67,000
(b)	Commission	-	-	-	-	-
(c)	Others, please specify	-	-	-	-	-
	Total (1)	23,000	23,000	6,000	15,000	67,000
2. Other Non Executive Directors						
(a)	Fee for attending Board/Committee meetings	-	-	-	-	-
(b)	Commission	-	-	-	-	-
(c)	Others, please specify	-	-	-	-	-
	Total (2)	-	-	-	-	-
	Total (B)=(1+2)	23,000	23,000	6,000	15,000	67,000
	Total Managerial Remuneration (A+B)					5,192,166

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD (Amount in ₹)

Sl. No.	Particulars of Remuneration	Key Managerial Personnel		Total	
		CFO	CS		
		Mr. Ayush Agarwal	Mrs. Amrita Mohta Kothari		
1	Gross Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961. (b) Value of perquisites u/s 17(2) of the Income tax Act, 1961 (c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	(a) Salary	1,118,443	706,576	1,825,019
2	Stock Option		-	-	-
3	Sweat Equity		-	-	-
4	Commission as % of profit		-	-	-
5	Others, please specify		-	-	-
	Total		1,118,443	706,576	1,825,019

VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES

(Amount in ₹)

Type	Section of the Companies Act	Brief Description	Details of Penalty/Punishment /Compounding fees imposed	Authority (RD/NCLT/ Court)	Appeal made if any (give details)
A. COMPANY					
Penalty			NIL		
Punishment					
Compounding					
B. DIRECTORS					
Penalty			NIL		
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty			NIL		
Punishment					
Compounding					

Registered Office:
16 Strand Road
9th Floor, Room No. 908B
Kolkata-700001
Dated: 10th August, 2018

By Order of the Board
Sd/-
Udai Kothari
(Chairman & Managing Director)
(DIN:- 00284256)