

## COMPLIANCE REPORT ON CORPORATE GOVERNANCE

### ANNEXURE- I

1	Name of Listed Entity	<b>U. Y. Fincorp Limited</b>										
2	Quarter ending	<b>30<sup>th</sup> June, 2023</b>										
<b>I. COMPOSITION OF BOARD OF DIRECTORS:-</b>												
Title (Mr./ Ms)	Name of the Director	PAN <sup>§</sup> & DIN	Category (Chairperson/ Executive/ Non- Executive/ independent / Nominee) <sup>&amp;</sup>	Initial Date of Appointment	Date of Re- appointment	Date of Cessation	Tenure (in months)	Date of Birth	No. of Directors hip in listed entities including this listed entity [in reference to Regulation 17A(1)]	No. of Independ ent Direct orshi p in listed entitie s includ ing this listed entity [in refere nce to Regulatio n 17A(1 )]	No. of membershi ps in Audit/ Stakeholde r Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulation s)	No. of post of Chairpers on in Audit/ Stakehold er Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulation s)
Mr.	Udai Kothari	AQMPK0691F (DIN:-00284256)	Chairman & Managing Director	21.09.2017	02.05.2022	-	-	24.09.1987	1	-	1	-
Mr.	Deepak Kothari	ABBPk9382M (DIN:-00280323)	Non- Executive, Non- Independent	21.09.2017	02.05.2022	-	-	16.06.1963	1	-	-	-
Mr.	Dinesh Burman	ADTPB8735H (DIN:-00612904)	Executive Director	30.07.2010	30.05.2021	-	-	12.03.1957	1	-	1	-
Mr.	Govind Kumar Goyal	AESPG3693R (DIN: 02466348)	Non- Executive Independent Director	24.07.2015	24.07.2020	-	95.07	23.09.1979	1	1	1	-
Mr.	Nishant Sharadrao Nanda	APNPP5183R (DIN:-08196581)	Non- Executive Independent Director	10.08.2018	-	-	58.21	18.09.1984	1	1	-	-
Mr.	Jignesh Dalal	ADUPD2097H (DIN: 08065507)	Non- Executive Independent Director	15.02.2018	15.02.2023	-	64.16	08.04.1972	1	1	2	2
Mrs.	Leena Hinesh Jobanputra	AHCPJ1237H (DIN:-06975039)	Non- Executive Independent Director	12.11.2014	12.11.2019	-	103.19	08.12.1973	1	1	2	-
Whether Regular chairperson appointed										Yes		
Whether Chairperson is related to managing director or CEO										Yes		
<p><sup>§</sup> PAN number of any director would not be displayed on the website of Stock Exchange</p> <p><sup>&amp;</sup> Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen</p> <p>* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.</p>												

**II. COMPOSITION OF COMMITTEES:-**

Name of Committee	Whether Regular Chairperson appointed	Name of Committee members	Category (Chairperson / Executive / Non-Executive / Independent / Nominee) <sup>5</sup>	Date of Appointment	Date of Cessation
Audit Committee	Yes	Jignesh Dalal	Chairperson-Non Executive-Independent Director	15-02-2018	-
		Leena Hinesh Jobanputra	Member-Non Executive-Independent Director	12-11-2014	-
		Govind Kumar Goyal	Member-Non Executive-Independent Director	11-08-2018	-
Nomination and Remuneration Committee	Yes	Jignesh Dalal	Chairperson-Non Executive-Independent Director	15-02-2018	-
		Leena Hinesh Jobanputra	Member-Non Executive-Independent Director	12-11-2014	-
		Govind Kumar Goyal	Member-Non Executive-Independent Director	11-08-2018	-
Stakeholders Relationship Committee	Yes	Jignesh Dalal	Chairperson-Non Executive-Independent Director	11-08-2018	-
		Leena Hinesh Jobanputra	Member-Non Executive-Independent Director	12-11-2017	-
		Udai Kothari	Member-Executive-Non Independent Director	14-11-2017	-
		Dinesh Burman	Member-Executive-Whole time Director	23-04-2014	-
Risk Management Committee	N.A.				

<sup>5</sup> Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

**III. MEETINGS OF BOARD OF DIRECTORS:-**

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of Independent Directors present*	Maximum gap between any two consecutive (in number of days)
30.01.2023	-	-	-	-	-
27.03.2023	-	-	-	-	-
-	30.05.2023	Yes	7	4	63

\*to be filled in only for the current quarter.

**18IV. MEETINGS OF COMMITTEES:-AUDIT COMMITTEE**

Date(s) of Meeting of the committee ) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present*	Number of Independent Directors present*	Date(s) of Meeting of the committee in the previous quarter	Maximum gap between any two consecutive (in number of days)
30.05.2023	Yes	3	3	30.01.2023	119

\* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional.

\*\*to be filled in only for the current quarter.

