



Growth, Wealth, Success

U. Y. Fincorp Limited

(Formerly Known as Golden Goenka Fincorp Limited)

ARCHIVAL POLICY

This “ U. Y. – Archival Policy ” has been adopted by the Board of Directors of the Company at its meeting held on 28th March, 2019 and supersedes the earlier policy approved by the Board of Directors at their meeting held on 13th November, 2015.

A. PURPOSE

The Securities and Exchange Board of India has issued SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI LODR”) on September 2, 2015 to be effective from December 1, 2015.

Regulation 30 of SEBI LODR requires the Company to make disclosure of certain events or information to the Stock Exchanges. Further SEBI has issued a circular on “Continuous Disclosure Requirements for Listed Entities” on September 9, 2015 indicating the details that need to be provided while disclosing above events.

As per Regulation 30(8) of SEBI LODR the Company is required to disclose on its website all such events or information which is to be disclosed to the Stock Exchanges pursuant to Regulation 30 for a minimum period of 5 (five) years and thereafter, as per the Archival Policy of the company and the Archival policy is to be uploaded in the website of the Company.

Considering the requirements as prescribed under SEBI LODR, the Board of Directors of U. Y. Fincorp Limited (Formerly known as Golden Goenka Fincorp Limited) (“the Company”) has formulated this policy known as “U. Y. – Archival Policy”

B. ARCHIVAL REQUIREMENT

The disclosures made to stock exchanges pursuant to Regulation 30 of SEBI LODR would be uploaded in the website of the Company and would be hosted in the website for a period of five years from the date of such hosting.

Thereafter, the information would be archived under the heading “Past Events/Information” and would be retained in the website for a period of three years.

C. MONITORING AND REVIEW

In case there are any regulatory changes requiring modifications to the Policy, the Policy shall be reviewed and amended with due approval from the Managing Director or Chief Executive Officer. However, the amended regulatory requirements will supersede the Policy till the time Policy is suitably amended.

D. EFFECTIVE

This policy will become effective from 1st December, 2015.

E. DISCLOSURE

This policy shall be disclosed on the website of the Company.

Date: 28.03.2019

Place: Kolkata

By order of Board

**Sd/-
(Udai Kothari)
Chairman**